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Bib Data Sheet

CONFIRMATION NO. 8236

|                                    |   |                     |                               |  |
|------------------------------------|---|---------------------|-------------------------------|--|
| <b>SERIAL NUMBER</b><br>09/680,365 | <b>FILING OR 371(c)<br/>DATE</b><br>10/05/2000<br><b>RULE</b> | <b>CLASS</b><br>705 | <b>GROUP ART UNIT</b><br>3624 | <b>ATTORNEY<br/>DOCKET NO.</b><br>38866/204611 |
|------------------------------------|---|---------------------|-------------------------------|--|

**APPLICANTS**

R. Raymond May, Mathews, NC;

**\*\* CONTINUING DATA \*\*\*\*\***

This application is a DIV of 09/169,879 10/12/1998 ABN  
 which claims benefit of 60/062,410 10/14/1997

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED****\*\* 12/14/2000**

|   |                                   |                                 |                              |                                    |
|---|-----------------------------------|---------------------------------|------------------------------|------------------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input type="checkbox"/> no  | <b>STATE OR<br/>COUNTRY</b><br>NC | <b>SHEETS<br/>DRAWING</b><br>33 | <b>TOTAL<br/>CLAIMS</b><br>7 | <b>INDEPENDENT<br/>CLAIMS</b><br>1 |
| 35 USC 119 (a-d) conditions<br>met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after<br>Allowance |                                   |                                 |                              |                                    |
| Verified and<br>Acknowledged  | Examiner's Signature              | Initials                        |                              |                                    |

**ADDRESS**

0826

**TITLE**

SYSTEMS FOR SWITCH AUCTIONS UTILIZING RISK POSITION PORTFOLIOS OF A PLURALITY OF TRADERS

|                                       |   |  |
|---------------------------------------|---|--|
| <b>FILING FEE<br/>RECEIVED</b><br>355 | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of<br>time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |
|---------------------------------------|---|--|